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Application

Instructions

Annotations records internal notes/comments that are visible to internal staff only. Feedback appends a new section to the bottom of the application that is visible to the applicant and anyone viewing the application.

Application Details

[Print to PDF](#) | [Release for Review](#) | [Negotiation](#) | [Annotations\(0\)](#) | [Versions](#) | [Feedback](#) | [Withdraw](#)

365549 - Empower Rural Iowa Emergency Broadband Expansion Program (NOFA #003)

368388 - Aredale, Bristow, and Dougherty Fiber to the Home Deployment
Broadband Grant Program - Empower Rural Iowa

Status: Submitted
Signature: David Severin
Submitted Date: 2020-08-05 05:50:05
Submitted By: David Severin

Applicant Information

Project Officer

AnA User Id ROC@IOWAID
First Name* David Severin
Title:
Email:* rockwell@netins.net
Address:* 111 4th St N

City* Rockwell Iowa 50469
City State/Province Postal Code/Zip
Phone:* 641-822-3212
Phone Ext.

Program Area of Interest* Broadband Grant Program - Empower Rural Iowa
Fax:
Agency

Organization Information

Organization Name:* Rockwell Cooperative Telephone Association
Organization Type:* Non-Profit Organization
DUNS:
Organization Website: https://rockwellcoop.com/
Address: 111 4th St N

City State/Province Postal Code/Zip
Rockwell Iowa 50469
City State/Province Postal Code/Zip
Phone: 641-822-3211
Phone Ext.

Fax:
Benefactor
Vendor
Number

Cover Sheet-General Information

Authorized Official

Name* David Severin
Title* General Manager
Organization* Rockwell Cooperative Telephone Association
If you are an individual, please provide your First and Last Name.
Address* 111 4th St N

City/State/Zip* Rockwell Iowa 50469
City State Zip

Telephone Number* 641-822-2211
E-Mail* rockwell@netins.net

Fiscal Officer/Agent

Please enter the "Fiscal Officer" for your Organization.

If you are an individual, please provide your First and Last Name.

Name* David Severin
Title General Manager
Organization Rockwell Cooperative Telephone Association
Address 111 4th St N

City/State/Zip Rockwell Iowa 50469
City State Zip
Telephone Number 641-822-2211
E-Mail rockwell@netins.net
County(ies) Participating, Involved, or Affected by this Proposal* Butler County, Cerro Gordo County, Floyd County, Franklin County
Congressional District(s) Involved or Affected by this Proposal* 4th - Rep Steve King (R)
[Congressional Map](#)
Iowa Senate District(s) Involved or Affected by this Proposal* 25, 26, 27
[District Map](#)
Iowa House District(s) Involved or Affected by this Proposal* 50, 52, 53, 54
[District Map](#)

Business Organization - NOFA #003

Business Legal Name* Rockwell Cooperative Telephone Association

Mailing Address

Street * 111 4th St N
City* Rockwell
State* IA
Zip* 50469

Alternate Mailing Address (used for warrants and/or payments)

Alternate Street
 Alternate City
 Alternate State
 Alternate Zip

Additional Information

* [RCTA Business Structure.pdf](#)

Is the Applicant a Communications Service Provider as defined by Iowa Code chapter 8B, including but not limited to private sector carriers, local governments, utilities, and other entities that provide or intend to provide Broadband service.

Communication Service Provider* Yes

Executive Project Summary NOFA #003

This section requires an Applicant to summarize, at a high level, its proposed Project, including but not limited to the geographic area in which the Project will occur, the primary deployment model (e.g., wireline versus wireless), and a general overview of how Applicant has/will comply with the terms, conditions, and requirements of this NOFA #3. Please explain why CARES Act funds are necessary for the Project to proceed and how the Project will or will not proceed if the requested funds are not ultimately Awarded. Finally, please explain the current status of the Project, including the phase it is in, such as pre-planning, planning, or that building has commenced.

Executive Project Summary* [RCTA Executive Project Summary.pdf](#)

The section requires Applicant to affirm whether or not CARES Act funds are necessary for the Project to proceed.

Are CARES Act Funds necessary for the Project to proceed?* Yes

This section requires an Applicant to describe how the Project will or will not proceed if CARES Act funds requested are not ultimately awarded.

Describe how the Project will or will not proceed if CARES Act funds requested Rockwell currently has a 4 year project plan to upgrade their service area to a

are not ultimately awarded.*

fully fiber to the home plant. Without the additional CARES Act funding the company would not accelerate the build-out to the three rural areas of Aredale, Bristow, and Dougherty.

The Adoption Project would not be implemented without the CARES Act funding of the \$500 One-time Discount.

Applicant certifies project completion dates as follows (select N/A if the Application does not include an Infrastructure component). For infrastructure projects, first 50% completion must be on or before December 30, 2020. The remaining 50% must be completed no later than July 1, 2021.

Infrastructure Completion Date Certification* Yes

Applicant certifies project completion dates as follows (select N/A if the Application does not include an Adoption component). For adoption projects, completion date must be on or before December 1, 2020.

Adoption Project Date Certification* Yes

Infrastructure Projects must be completed as soon as possible, but by no later than the deadlines set forth in Section 1.3 (Project Period/Contract Term). Applicants must certify that no portion of the proposed Infrastructure Project has already commenced construction or build out and that no portion of the proposed Infrastructure Project is related to existing Broadband expansion commitments or expenses that have been or will be reimbursed under any federal program or been awarded funding from. If your Project contains no Infrastructure Projects as defined in NOFA Section 1.1.1, answer N/A.

Certification that Infrastructure Project conforms with the statement above.* Yes

For Projects with an adoption component, Applicant certifies that reasonable efforts will be taken to ensure Broadband subscription discounts are only made to Non-Adopters who meet the criteria set forth in NOFA #003 Section 1.4.8.1 and 1.4.8.2 concerning participation in the Lifeline Program and otherwise reasonably lack the ability to adopt 25/3 broadband without a subscription discount in connection with the COVID-19 public health disaster emergency.

Adoption Certification* Yes

Demonstrated Experience NOFA #003

This section requires information about Applicant's demonstrated experience in provisioning Broadband across the State of Iowa. Applicant shall include relevant information about their experience that has prepared them to deploy their Project, such as, for example, community partnerships and services; number of years in business; number of years of experience providing the types of services sought by this NOFA #003; if the Applicant is a relatively new provider/market entrant, an explanation of the benefits, if any, of being a newer provider/market entrant; the level of technical experience in providing the types of services sought by this NOFA #003; or any other information that would aid the Office in assessing whether Applicant is a Responsible Applicant.

Demonstrated Experience* [Demonstration of Experience - RCTA.pdf](#)

References

| | |
|-------------------------|---------------------|
| Name | Tom Campbell |
| Telephone Number | 651-621-8511 |
| Name | Everett Kingrey |
| Telephone Number | 402-426-6100 |
| Name | Bob's Feed and Seed |
| Telephone Number | 641-775-3254 |

Minority Impact Statement

Question # 1

1. The proposed grant programs or policies could have a disproportionate or unique POSITIVE IMPACT on minority persons. * Yes

If YES, describe the positive impact expected from this project

The proposed Rockwell FTTH Project will positively impact minority persons by enhancing broadband speeds in under-served areas of Bulter, Cerro Gordo, Floyd, and Franklin Counties in Northern Iowa.

Indicate the group(s) positively impacted.

Women, Person/s with a Disability, Blacks, Latinos, Asians, American Indians

Question # 2

2. The proposed grant project programs or policies could have a disproportionate or unique **NEGATIVE IMPACT** on minority persons. *

No

If YES, describe the negative impact expected from this project.

If YES, present the rationale for the existence of the proposed program or policy.

If YES, provide evidence of consultation with representatives of the minority groups impacted.

Indicate the group(s) negatively impacted.

Question # 3

3. The proposed grant project programs or policies are **NOT EXPECTED TO HAVE A DISPROPORTIONATE OR UNIQUE IMPACT** on minority persons. *

Yes

If YES, present the rationale for determining no impact.

RCTA plans to service all customers within the projection area.

Certification

I hereby certify that the information on this form is complete and accurate, to the best of my knowledge. *

Yes

Name of Person Submitting Certification. *

David Severin

Title of Person Submitting Certification*

General Manager

Broadband Grants Core Application CARES Version - Exhibits B, B.1,C, C.1, and D

Applicant requests that the contents of their application remain confidential in whole or in part, pursuant to Section 1.25 of the NOFA #003.

DISCLAIMER: An applicant requesting confidential treatment of portions of an application must attach a redacted public copy of the application. If the applicant fails to attach a redacted public copy pursuant to Section 1.25.1.5 of the NOFA #003, the Office shall be relieved from any responsibility for maintaining the confidentiality of the application pursuant to 1.25.4 of the NOFA #003.

NOTE: Applicants are **ONLY** required to submit a Public Redacted Copy IF they are requesting confidential treatment with respect to an aspect of their Application. Further, the mere fact that an Applicant submits a Public Redacted Copy (or otherwise requests confidential treatment) does not ensure the aspects of the Application that are redacted (or for which confidential treatment is otherwise sought) will be treated as confidential; the Office will only afford confidential treatment to the extent requests comply with the terms, conditions, and requirements of the NOFA #003.

Full Unredacted Copy*

[Rockwell Broadband Grant NOFA 003 - Final.xlsm](#)

Core Application Forms Exhibits B-D
(Public Redacted Copy)

Note: If you need additional space to answer any of the below questions, please upload supplemental materials to the appropriate section of the Iowa Grants System ("Overflow Materials").

Exhibit C Overflow Materials

Exhibit C.1 Overflow Materials

[COVID-CARES_Act BB Grant Eligibility.pdf](#)

In addition, in connection with any of the following factors, Applicants may provide evidence of need in support of their Project by uploading materials through the Iowa Grants System, which materials demonstrate how communities, households, businesses, schools, or hospitals are hindered in their ability to respond to the challenges of COVID-19 due to a lack of at least 25/3 Broadband ("Supplemental Materials of Need"). Such evidence, by way of example only, may include letters signed and endorsed by community officials, including but not limited to the mayor, city administrator, county supervisor, superintendent, hospital administrator, etc.

Supplemental Materials of Need 3A.1.2

Supplemental Materials of Need 3B.1.2

Broadband Grants Program Grant Agreement - Exhibit E

Exceptions to Broadband Grants
Program Grant Agreement*

[exhibit_e_-_broadband_grants_program_grant_agreement_including_required_federal_provisions_nofa0031.pdf](#)

Certification, Authorization, and Release of Information-Exhibit F

Certification/Acknowledgements &
Authorization to Release Information
Letter*

[Exhibit F.pdf](#)

Form 22 - Exhibit G

Form 22 (Public)*

[Exhibit G.pdf](#)

Prior Funding Statement-Exhibit L

Prior Funding Statement- Exhibit L *

[Exhibit L.pdf](#)

Project Budget

| Category | Description | Total Estimated Cost \$ (Exhibit D) | Requested Grant Support % (up to 50%) | Grant Request (Est. Cost * Request %) |
|------------------------------|----------------------------------|--|--|--|
| Conduit | N/A | \$0.00 | 0 | \$0.00 |
| Fiber/Copper | Cost per Foot, Quantity per Foot | \$463,677.00 | 50.0 | \$231,838.50 |
| OSP Engineering | Cost per Foot, Quantity per Foot | \$120,556.02 | 50.0 | \$60,278.01 |
| Design Engineering | Hourly Rate, Quantity | \$13,320.00 | 50.0 | \$6,660.00 |
| Construction Mgmt. | Hourly Rate, Quantity | \$130,000.00 | 50.0 | \$65,000.00 |
| Tower | N/A | \$0.00 | 0 | \$0.00 |
| Antenna | N/A | \$0.00 | 0 | \$0.00 |
| Boring | Cost per Foot, Quantity per Foot | \$445,128.00 | 50.0 | \$222,564.00 |
| Trenching | N/A | \$0.00 | 0 | \$0.00 |
| Knifing | Cost per Foot, Quantity per Foot | \$1,493,040.50 | 50.0 | \$746,520.25 |
| Aerial Deployment/Make Ready | N/A | \$0.00 | 0 | \$0.00 |
| Outside Plant | N/A | \$0.00 | 0 | \$0.00 |
| Switching Equipment | N/A | \$0.00 | 0 | \$0.00 |
| Routing Equipment | Cost per Unit, Unit Quantity | \$18,000.00 | 50.0 | \$9,000.00 |
| Optical Equipment | Cost per Unit, Unit Quantity | \$43,616.00 | 50.0 | \$21,808.00 |
| Customer Premise Equipment | Cost per Unit, Unit Quantity | \$39,550.00 | 50.0 | \$19,775.00 |
| Other | Cost per Unit, Unit Quantity | \$263,968.50 | 50.0 | \$131,984.25 |
| Totals | | \$3,030,856.02 | | \$1,515,428.01 |

Adoption Project

| School District | Number of Adopters | Amount |
|-------------------------|--------------------|--------------|
| HAMPTON-DUMONT | 41 | \$20,500.00 |
| RUDD-ROCKFORD-MARBLE RK | 45 | \$22,500.00 |
| NORTH BUTLER | 74 | \$37,000.00 |
| WEST FORK | 251 | \$125,500.00 |

Total Application Request

Total Application Request \$1,720,928.01

Request for Taxpayer Identification Number and Certification

Name (as shown on your income tax return) Rockwell Cooperative Telephone Association

Business name, if different from above

Check appropriate box:

Individual/Sole proprietor

C Corporation Yes

S Corporation

Partnership

Trust/Estate

Limited liability company

Enter the tax classification (C=corporation, S=S corporation, P=partnership)

Other

See instructions provided in the link at the top of this form

Other Description**Exemptions**

See instructions in the link provided at the top of this form.

Exempt payee

Exempt payee code (if any)

Exemption from FATCA reporting code
(if any)Address (number, street, and apt. or
suite no.)

City

Iowa

State

Zip

List account number(s) here (optional)

Requester's name and address
(optional)**Part 1 - Taxpayer Identification number (TIN)**

Social Security #

Employer Identification # 42062807

PART 2 - Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Signature of U.S. person*

David Severin

You must select yes to item 2 below (backup withholding) if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions provided in the link at the top of this form.

2. Backup Withholding*

Not Applicable

Date Signed*

08/05/2020

[Return to top](#)